

# Recognizing Confinement in Web Texts

Megumi Ohki <sup>†</sup>	Eric Nichols <sup>‡</sup>
Suguru Matsuyoshi <sup>†</sup>	Koji Murakami <sup>†*</sup>
Junta Mizuno <sup>‡</sup>	Shouko Masuda <sup>†</sup>
Kentaro Inui <sup>‡</sup>	Yuji Matsumoto <sup>†</sup>
Nara Insutitute of Science and Technology <sup>†</sup>	Tohoku University <sup>‡</sup>

{megumi-o, shouko, matuyosi, matsu}@is.naist.jp  
{eric, junta-m, inui}@tohoku.ac.jp  
koji.murakami@mail.rakuten.co.jp

## Abstract

In the Recognizing Textual Entailment (RTE) task, sentence pairs are classified into one of three semantic relations: ENTAILMENT, CONTRADICTION or UNKNOWN. While we find some sentence pairs hold full entailments or contradictions, there are a number of pairs that partially entail or contradict one another depending on a specific situation. These partial contradiction sentence pairs contain useful information for opinion mining and other such tasks, but it is difficult for Internet users to access this knowledge because current frameworks do not differentiate between full contradictions and partial contradictions. In this paper, under current approaches to semantic relation recognition, we define a new semantic relation known as CONFINEMENT in order to recognize this useful information. This information is classified as either CONTRADICTION or ENTAILMENT. We provide a series of *semantic templates* to recognize CONFINEMENT relations in Web texts, and then implement a system for recognizing CONFINEMENT between sentence pairs. We show that our proposed system can obtain a F-score of 61% for recognizing CONFINEMENT in Japanese-language Web texts, and it outperforms a baseline which does not use a manually compiled list of lexico-syntactic patterns to instantiate the semantic templates.

## 1 Introduction

On the Internet, there are various kinds of documents, and they often include conflicting opinions or differing information on a single topic. Collecting and organizing this diverse information is an important part of multi-document summarization.

When searching with a particular query on the Internet, we want information that tells us what other people think about the query: e.g. do they believe it is true or not; what are the necessary conditions for it to apply. For example, consider the hypothetical search results for the query given in (1). You get opinion (2a), which supports the query, and opinion (2b) which opposes it.

(1) *Xylitol is effective at preventing tooth decay.*

(2) a. *Xylitol can prevent tooth decay.*

b. *Xylitol is not effective at all at preventing tooth decay.*

A major task in the Recognizing Textual Entailment (RTE) Challenge (Giampiccolo et al. (2007)) is classifying the semantic relation between a Text and a Hypothesis into ENTAILMENT, CONTRADICTION, or UNKNOWN. Murakami et al. (2009) report on the STATEMENT MAP project, the goal of which is to help Internet users evaluate the credibility of information sources by analyzing supporting evidence from a variety of viewpoints on their topics of interest and presenting them to users together with the supporting evidence in a way that makes it clear how they are related. A variety of techniques have been successfully employed in the RTE Challenge in order to recognize instances of textual entailment.

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\*Current affiliation: Rakuten Institute of Technology

However, as far as we know, there have been no studies on recognizing sentences which specify conditions under which a query applies, despite the fact that these relations are useful information for Internet users. Such useful sentences are plentiful on the Web. Consider the following examples of CONTRADICTION and ENTAILMENT.

- (3) a. *Xylitol can not prevent tooth decay **if it not at least 50%**.*  
b. *The effect of Xylitol on preventing tooth decay is **limited**.*

In example (3a), the necessary condition to prevent tooth decay by Xylitol is “it contains at least fifty percent Xylitol”. That condition is expressed by the phrase in bold in (3a). This sentence informs users that if they want to prevent tooth decay, the products they use must contain a certain amount of Xylitol to be effective. In example (3b), we obtain information on uncertainty of Xylitol’s tooth decay prevention effectiveness from the phrase “*is limited*”. It tells that Xylitol is not necessarily effective at preventing tooth decay, and thus it is not completely in agreement with or contradiction to the original sentence (1).

It is important to recognize the semantic relation shown in (3) because it provides more specific information about the query or specifies the conditions under which the statement holds or does not. This is valuable information for Internet users and needs to be distinguished from fully contradicting or agreeing opinions.

We call this semantic relation CONFINEMENT because it confines the situation under which a query applies. In this paper, we give a language independent definition of the CONFINEMENT relation in predicate logic and provide a framework for detecting the relation through a series of *semantic templates* that take logical and semantic features as input. We implement a system that detects CONFINEMENT relations between sentence pairs in Japanese by instantiating the semantic templates using rules and a list of lexico-semantic patterns. Finally, we conduct empirical evaluation of recognition of the CONFINEMENT relation between queries and sentences in Japanese-language Web texts.

## 2 Related Work

In RTE research, only three types of relations are defined: ENTAILMENT, CONTRADICTION, and UNKNOWN. RTE is an important task and has been the target of much research (Szpektor et al. (2007); Sammons et al. (2009)). However, none of the previous research has introduced relations corresponding to CONFINEMENT.

Cross-document Structure Theory (CST, Radev (2000)) is another approach to recognizing semantic relations between sentences. CST is an extended rhetorical structure analysis based on Rhetorical Structure Theory (RST). It attempts to describe the semantic relations between two or more sentences from different source documents that are related to the same topic. It defines 18 kinds of semantic relations between sentences. Etoh and Okumura (2005) constructed a Japanese Cross-document Relation Corpus and defined 14 kinds of semantic relations. It is difficult to consider CONFINEMENT relations in the CST categorical semantic relations because it focuses on comparing sentences in terms of equivalence and difference between sentences. At first glance, CONFINEMENT may seem to be defined in terms of difference between sentences, but this approach does not capture the idea of restriction on a sentence’s applicability. Thus, it is beyond the scope of CST.

In the field of linguistics, Nakagawa and Mori (1995) discussed restrictions as represented in the four Japanese subordinate clause patterns. Abe (1996) researched the role of quantifiers in quantitative restrictions and the role of “*だけ* (only).” There is much other researches on expressions representing “confinement” in a sentence in linguistics. These expressions are useful in order to recognize phrases which contradict each other. However, as far as we know, there is no research on the relation of CONFINEMENT *between two sentences* in the linguistics literature. The absence of related research makes defining and recognizing CONFINEMENT a very challenging task.

## 3 The CONFINEMENT Relation

We present the definition of the CONFINEMENT relation and describe its differences from ENTAILMENT and CONTRADICTION. In essence, a pair of sentences is in the CONFINEMENT relation if either the premise or consequent of the second sentence has a certain condition or restriction, and without such condition or restriction the pair is equivalent to either ENTAILMENT or CONTRADICTION.

Consider an example of CONFINEMENT sentence pair: (2a) and (3a). The statement “it (Xylitol) is not at least 50%” is a condition of the statement “Xylitol can not prevent tooth decay.” It is a CONTRADICTION if the conditional statement is satisfied. Because the truth value of the whole statement depends on various conditions to be satisfied, it is important to properly define a framework to define them.

### 3.1 A Logical Definition of CONFINEMENT

We present a definition of CONFINEMENT in predicate logic. We define CONFINEMENT as a semantic relation between two sentences, where the first sentence corresponds to RTE’s *Hypothesis*, or the user Query, and the second sentence corresponds to RTE’s Text that has some semantic relation with the Query, which we want to identify.

Here we consider sentence pairs where the Query matches the logical pattern  $\forall x(P(x) \rightarrow C(x))$ , where we call  $P(x)$  the Premise and  $C(x)$  the Consequence. There are many ways of representing sentences as logical expressions, and we think that the logical pattern  $(\forall(P(x) \rightarrow C(x)))$  can cover a variety of queries. For example, the sentence “Xylitol is effective at preventing tooth decay.” can be represented as  $\forall x(\text{isXylitol}(x) \rightarrow \text{effectiveAtPreventingToothDecay}(x))$ . Consider the case where one sentence contains only a Consequence. This case can be regarded as a special case of the above formula. We write such a sentence as  $\forall x(T \rightarrow C(x))$  showing that the Premise is always True.

In this paper, we limit discussion of the CONFINEMENT relation to the Query matching to the above logical pattern. Recognizing CONFINEMENT between the Text and the Query having more complex semantic patterns is an area of future work. Here, we split the definition of CONFINEMENT into subtypes according to: (i) conditions to satisfy in addition to the Premise, and (ii) limitations on the degree of the Consequence.

**Premise side** Additional conditions for achieving the Consequence

#### Explicit constraint

Some conditional sentences use an expression corresponding to logical “only if,” which explicitly means two way conditions as the following formula.

$$\begin{aligned} \forall x((P(x) \wedge \text{AdditionalCondition}(x) \rightarrow C(x)) \\ \wedge (P(x) \wedge \neg \text{AdditionalCondition}(x) \rightarrow \neg C(x))) \end{aligned} \quad (1)$$

For example,  $S_1$  in Table 1, “Xylitol is effective at preventing cavities only when it is 100%”, explicitly specify that Xylitol is effective if it is 100% and is not effective if it is not 100%. So, we assume the form of the above formula for this type of statement.

#### Implicit constraint

This type of sentence specifies an additional condition on the Premise and is represented by the following formula. The Premise needs to be satisfied for the consequence to be achieved.

$$\forall x((P(x) \wedge \text{AdditionalCondition}(x) \rightarrow C(x)) \quad (2)$$

Example  $S_5$  in Table 1 says “Xylitol is effective at preventing tooth decay if it is 100%”, which is assumed by Formula (2).  $S_5$  does not contain an expression such as “only (だけ)”, which explicitly specifies that  $C(x)$  does not hold when an additional condition is not satisfied. One may understand that it implicitly means “Xylitol is not effective at preventing tooth decay if it is not 100%,” but  $S_5$  does not strictly require this.

**Consequence side** Constraints on the degree of achieving the Consequence

There are sentences in partial entailment or contradiction where the degree of achieving of the Consequence is limited. To represent these limitations on the Consequence side, we define a CONFINEMENT relation where the degrees of the Consequence are limited as in Example (3b). We define the following formula to represent these limitations on the Consequence side.

$$\forall x((P(x) \rightarrow C_r(x)) \quad (3)$$

$C_r(x)$  represents  $C(x)$  with additional restriction. For example,  $S_3$  in Table 1 says that Xylitol is somewhat effective at preventing tooth decay, which means that there are cases in which Xylitol can not prevent tooth decay. In the case of  $S_3$ ,  $C_r(x)$  is “is a bit effective”. This type of CONFINEMENT provides valuable information about Xylitol’s limited ability to promote dental hygiene in  $S_3$ .

All CONFINEMENTs on the Consequence side are of type EXPLICIT CONFINEMENT, because they explicitly mean that a part of the Consequence is achieved but no other parts are achieved.

### 3.2 Semantic Templates

We propose a series of semantic templates to classify sentence pairs into one of the CONFINEMENT relation subtypes we define. The semantic templates take a set of features as input and use their values to categorize the sentence pair. In Section 4, we evaluate the coverage of the semantic templates by classifying a small set of sentence pairs using manually set feature values. In Section 6, we provide more realistic evaluation by using a proposed system to set the feature values automatically and classify sentence pairs as ENTAILMENT / CONTRADICTION, or CONFINEMENT.

We assume that each sentence consists of a Premise and Consequence, and that each sentence pair which has a CONFINEMENT relation contains at least one additional condition or one additional limitation as defined in Section 3.1.

We know that there are a variety of expressions that indicate the presence of a CONFINEMENT relation. For example, both “*Only 100% pure Xylitol is effective at preventing tooth decay.*” and “*Xylitol is not effective at preventing tooth decay unless it is 100% pure.*” are CONFINEMENTs of “*Xylitol is effective at preventing tooth decay.*” Since it is impossible to handle all possible expressions that indicate CONFINEMENT, we focus on covering as many as possible with three features: (1) the type of constraint, (2) the type of Premise, and (3) the type of Consequence. The features are defined in more detail below.

**IF-Constraint** This feature indicates the type of logical constraint in the Text sentence. Its values can be “IF,” “ONLY-IF.”

**Premise** This feature indicates the type of Premise in the Text sentence. The value “P+A” or “notP+A” means there is an Additional Condition on the Premise. The value “P” or “notP” means there is just a Premise. “not” represents the Premise have a negation.

**Consequence** This feature indicates the type of Consequence. Its possible values are “C” (just a Consequence), “notC” (negated Consequence), “C<sub>r</sub>” or “notC<sub>r</sub>” (certain partial Consequence).

Semantic templates consist of a tuple of four feature values and a mapping to the confinement type they indicate. A full list of templates is given in Table 1. In the templates, a wildcard asterisk “\*” indicates that any feature value can match in that slot of the template. The abbreviations ENT, CONT and CONF stand for ENTAILMENT, CONFINEMENT and CONFINEMENT respectively.

Semantic templates are applied in turn from top pattern by determining the value of each feature and looking up the corresponding relation type in Table 1. We give a classification examples below. The user query is sentence  $S_0$ . Sentences  $S_1$  are Web texts.

**Query** :  $S_0$ . Xylitol is effective at preventing tooth decay.

**Text** [*ONLY-IF*  $P(x) \wedge AC(x)$  then  $C(x)$  ]:  $S_1$ . Xylitol is effective at preventing tooth decay when you take it every day without fail.

In Example, *IF-Constraint* is “ONLY-IF”, *Premise* is “P+A”, and the type of *Consequence* is “C”. This instance has an additional condition and the Consequence matches the Query, so it is identified as an EXPLICIT CONFINEMENT.

## 4 Verifying Semantic Templates

In this section, we verify the effectiveness of semantic templates in recognizing CONFINEMENT relations by testing them on real-world data in Japanese. To directly evaluate the quality of the templates, we construct a small data set of sentence pairs and manually annotate them with the correct values for each of the features defined in Section 3.2.

### 4.1 Data

We constructed the Development set and the Open-test set of sample Japanese user queries and Internet text pairs following the methodology of Murakami et al. (2009). However, Murakami et al. (2009) annotated Query-Text pairs with coarse-grained AGREEMENT and CONFLICT relations that subsume the

Table 1: Semantic templates for recognizing CONFINEMENT

Semantic features			Relation	Number of positive example	Number of negative example	Example
IF-constraint	Premise	Consequence				
ONLY-IF	P+A	*	EXPLICIT CONF	8	0	$S_0$ :キシリトールは虫歯予防に効果がある。 Xylitol is effective at preventing tooth decay.
ONLY-IF	notP+A	*	EXPLICIT CONF	0	0	$S_1$ :キシリトールは 100%の時にだけ虫歯予防に効果があります。 Xylitol is effective at preventing tooth decay only when it is 100%.
*	*	$C_r$	EXPLICIT CONF	11	0	$S_2$ :キシリトールは 50%未満でない時にしか虫歯予防に効果がありません。 Xylitol is effective at preventing tooth decay only when it is not under 50%.
*	*	not $C_r$	EXPLICIT CONF	12	0	$S_3$ :キシリトールは虫歯予防に僅かに効果があります。 Xylitol is a bit effective at preventing tooth decay.
IF	P+A	*	IMPLICIT CONF	62	0	$S_4$ :キシリトールは虫歯予防にほとんど効果がありません。 Xylitol is not almost of effective at preventing tooth decay.
IF	notP+A	*	IMPLICIT CONF	1	0	$S_5$ :キシリトールは 100%ならば虫歯予防に効果があります。 Xylitol is effective at preventing tooth decay if it is 100%.
IF	P	C	ENT	279	0	$S_6$ :キシリトールは 100%でないならば虫歯予防に効果がありません。 Xylitol is not effective at preventing tooth decay if it is not 100%.
IF	notP	C	CONT	0	0	$S_7$ :キシリトールを食べると虫歯予防に効果があります。 Xylitol is effective at preventing tooth decay if it is eaten.
IF	P	notC	CONT	13	0	$S_8$ :キシリトールを食べないと虫歯予防に効果があります。 Xylitol is effective at preventing tooth decay if it is not eaten.
IF	notP	notC	ENT	0	0	$S_9$ :キシリトールを食べると虫歯予防に効果がありません。 Xylitol is not effective at preventing tooth decay if it is eaten.
ONLY-IF	P	C	ENT	3	0	$S_{10}$ :キシリトールを食べないと虫歯予防に効果がありません。 Xylitol is not effective at preventing tooth decay if it is not eaten.
ONLY-IF	notP	C	CONT	0	0	$S_{11}$ :キシリトールを食べたときだけ虫歯予防に効果があります。 Xylitol is effective at preventing tooth decay only when it is eaten.
ONLY-IF	P	notC	CONT	0	0	$S_{12}$ :キシリトールを食べなかったときだけ虫歯予防に効果があります。 Xylitol is effective at preventing tooth decay only when it is not eaten.
ONLY-IF	notP	notC	ENT	0	0	$S_{13}$ :キシリトールを食べたときだけ虫歯予防に効果がありません。 Xylitol is effective at preventing tooth decay only when it is eaten.
ONLY-IF	notP	notC	ENT	0	0	$S_{14}$ :キシリトールを食べなかったときだけ虫歯予防に効果がありません。 Xylitol is not effective at preventing tooth decay only when it is not eaten.

Table 2: Data set (Counts of sentences out of parenthesis and statements in parentheses)

	Entailment	Contradiction	Confinement	All
Development	258 (282)	8 (13)	79 (94)	345 (389)
Open-test	230	170	200	600

RTE relations of ENTAILMENT and CONTRADICTION. As our task is to discriminate between CONFINEMENT and RTE relations, we annotate each sentence pair or each statement<sup>1</sup> pair with one of the following relations instead: ENTAILMENT, CONTRADICTION, or CONFINEMENT. In the case of CONFINEMENT, we annotate Query-Text pairs which are not full ENTAILMENT or CONTRADICTION but these Text partially agrees and disagrees with the Query. Annotations were checked by two native speakers of Japanese, and any sentence pair where annotation agreement is not reached was discarded. Table 2 shows that how many sentences or statements are in each data set. Annotated statements counts are written in parentheses. We use the Development set for evaluation of verifying semantic templates and develop list of lexical and syntactic patterns for semantic features extraction, and the Open-test set for evaluation in Section 6.

## 4.2 Verification Result

After the data was prepared, we annotated it with the correct feature values for use with the semantic templates. This was done by manually checking for words or phrases in the sentences that indicated one of the features in Table 1. Once the features were set, we used them to classify each sentence pair.

We give the numbers of instances that we could confirm for each pattern in the sixth column of Table 1 and the numbers of negative instances in the seventh column, which satisfy semantic template but does not agree Relation values in the fifth column. As a result we find that there were no statement pairs that could not be successfully classified. We grasp CONFINEMENT relation with semantic templates for the most part. This verification data does not cover all combinations of patterns in our semantic templates, so we can not rule out the possibility of existence of an exception that cannot be classified by the semantic templates. However, we find these results to be an encouraging indication of the usefulness of semantic templates. Here are some example classifications found in the verification data.

**Coordinate clauses** Combining multiple of IMPLICIT CONFINEMENTS results in an EXPLICIT CONFINEMENT relation

(4) $S_0$ . ステロイドは副作用がある。

Steroid has side-effects.

$S_1$ . ステロイドの副作用はステロイド剤を長期に使用した場合におこることが多いですが短

<sup>1</sup>Murakami et al. define a “statement” as the smallest unit that can convey a complete thought or viewpoint. In practice, this can be a sentence or something smaller such as a clause.

期間の使用では副作用の心配はありません。

Long-term use of steroid causes side-effects, but there is no need to worry about side-effects in short-term usage.

In Example (4),  $S_1$  is an EXPLICIT CONFINEMENT for  $S_0$ . This is derived from the combination of CONFINEMENT of the two coordinate clauses of  $S_1$ : the former phrase “Long-term use of steroid causes side-effects” of  $S_1$  is an IMPLICIT CONFINEMENT for  $S_0$  by our semantic templates and the latter phrase is an IMPLICIT CONFINEMENT for  $S_0$ .

**Additional information for whole Query** Combining of a CONTRADICTION and an IMPLICIT CONFINEMENT result in an EXPLICIT CONFINEMENT

(5)  $S_0$ . キシリトールは虫歯予防に効果的だ。

Xylitol is effective at preventing tooth decay.

$S_1$ . 虫歯予防はキシリトールだけで済むわけではなく、基本的には規則正しい食生活とキシリトールを毎食後とることで虫歯の予防ができます。

Tooth decay can not be prevented with Xylitol alone, but it can be fundamentally prevented with an appropriate diet and by taking Xylitol after every meal.

The first clause before the comma in  $S_1$  of Example (5) corresponds to the entire sentence of  $S_0$ . The second clause after the comma helps us recognize that it is a CONFINEMENT relation. This instance is also a combination of semantic templates, so we need to recognize negation of each statement and adversative conjunction but we do not need to add new features to Table 1.

## 5 Proposed System

We propose a system which uses semantic templates for recognizing CONFINEMENT consists of six steps: (I) linguistic analysis, (II) structural alignment, (III) Premise and Consequence identification, (IV) semantic feature extraction, (V) adversative conjunction identification, and (VI) semantic template application. Figure 1 shows the work flow of the system. This system takes as input corresponding to  $S_0$  and  $S_1$ , and return a semantic relation.

### 5.1 I. Linguistic Analysis

In linguistic analysis, we conduct word segmentation, POS tagging, dependency parsing, and extended modality analysis. This linguistic analysis acts as the basis for alignment and semantic feature extraction. For syntactic analysis, we identify words and POS tags with the Japanese morphological analyser Mecab<sup>2</sup>, and we use the Japanese dependency parser CaboCha (Kudo and Matsumoto (2002)) to produce dependency trees. We also conduct extended modality analysis using the resources provided by Matsuyoshi et al. (2010).

### 5.2 II. Structural Alignment

To identify the consequence of  $S_0$  in  $S_1$ , we use Structural Alignment (Mizuno et al. (2010)). In Structural Alignment, dependency parent-child links are aligned across sentences using a variety of resources to ensure semantic relatedness.

### 5.3 III. Premise and Consequence identification

In this step, we identify the Premise and the Consequence in  $S_1$ . When a sentence pair satisfies all items is satisfying, we can identify a focused chunks as the consequence in  $S_1$ :

1. Chunk’s modality in  $S_0$  is assertion, this chunk is the Consequence in  $S_0$
2. Chunk in  $S_1$  align with the Consequence in  $S_0$

We identify the Premise in  $S_1$  when a sentence pair satisfies first, and either second or third item of the following conditions:

1. A case particle of chunks in  $S_0$  is either “が (agent marker)” or “は (topic marker)” and these chunks are children of the Consequence in  $S_0$ ’s dependency tree
2. The subject in  $S_0$  aligns with the subject of  $S_1$
3. All of the dependants of the expression “には (to, for)” have alignments in  $S_0$  dependency tree

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<sup>2</sup><http://chasen.org/taku/software/mecab/>.

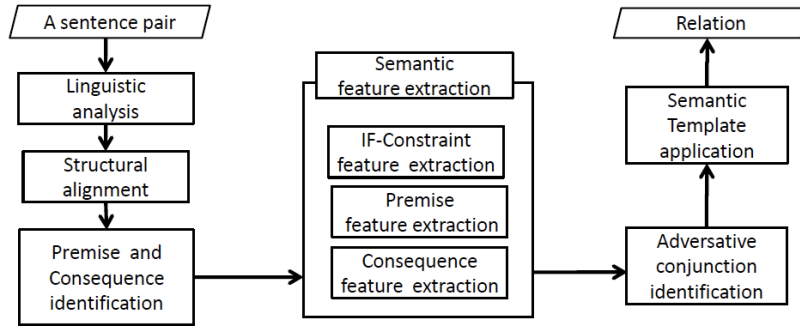


Figure 1: An overview of a proposal system to recognize CONFINEMENT

## 5.4 IV. Semantic Feature Extraction

We extract features for the semantic templates using a list of lexical and syntactic patterns. These patterns were manually compiled using the development data set introduced in Section 4. Features for the semantic templates are then automatically extracted by applying these patterns to input sentence pairs. The following overviews our extraction approach for each feature.

### 5.4.1 IF-Constraint Feature Extraction

Using CaboCha, we manually constructed lists of words and their POS that are indicators of the semantic condition under which a Premise occurs. We extract as features any words in the input sentences that appear in the list with the corresponding POS. The “IF” lexical type lists conjunctions that are the results of a conditional chunk or noun phrases that indicate a case or situation. The “ONLY-IF” lexical type is used to represent the most constraining situations. The following is our list of expressions.

★ **IF:** 場合 (in case), 時/とき (when), ば/なら/たら (if), と (thereupon), で (with)

★ **ONLY-IF:** 限り/かぎり (for this time), だけ/しか (only), 初めて (for the first time), こそ (for sure), には (to, for)

### 5.4.2 Premise Feature Extraction

We treat the words or phrases which are extracted from the constraint as conditions, and need to decide whether a given condition is the Premise or an additional condition for the Premise. The *Premise* is set to “P” when first step and either the second or third step of the following conditions are satisfied, and it is set to “P+A” otherwise:

1. ★ The condition have children in the  $S_1$ ’s dependency tree or the condition’s children are not aligned to chunks in  $S_0$
2. ★ The condition’s parent in  $S_0$ ’s dependency tree has any chunk with a child aligned with the Consequence in  $S_0$ , or the condition’s parent is not aligned with chunks in  $S_0$
3. ★ The condition’s parent does not have any expression with the meaning of “use” in the  $S_0$ ’s dependency tree

When these step are satisfied and negation exists in conditional chunks, *Premise* is set to “notP+A,” if these step are not satisfied, *Premise* is set to “notP.” In the third step, we identify expressions with the meaning of “use” with our lexical list. For example 使う (use), 食べる (eat), 摂取 (take) and so on. If the condition’s parent has words in our lexical list, we identify that “Xylitol” and “eating Xylitol” and “using Xylitol” are equivalent.

### 5.4.3 Consequence Feature Extraction

This feature is used to indicate the semantic relationship between Consequences of the sentences pair. Sentences with Consequences that share a certain amount of similarity in polarity and syntax are judged to have ENTAILMENT, otherwise they are in CONTRADICTION. In order to be judged as ENTAILMENT, the following conditions must all be true:

1. The modality of the Consequences must be identical.
2. The polarity of the Consequences must be identical as indicated by the resources in (Sumida et al. (2008))
3. The Premises of both sentences must align with each other

4. ★ The sentences must not contain expressions that limit range or degree such as “ほとんど (almost)” or “程度 (degree)”

When all items are satisfied, the *Consequence* is set to “C”, otherwise it is set to “notC.” We identify whether the consequence has expressions which limit the degree or not. The *Consequence* is set to “C<sub>r</sub>” or “notC<sub>r</sub>” when the following all conditions are satisfied:

1. Any of the children of the Consequence align with a chunk in  $S_0$ 's dependency tree.
2. ★ There are expressions limiting the degree of the Consequence or the siblings in  $S_1$ 's dependency tree

When these two steps are satisfied and the all four steps to judge whether sentence pairs are ENTAILMENT or not are not satisfied, *Consequence* is set to “notC<sub>r</sub>.”

## 5.5 V. Adversative Conjunction Identification

We manually compiled a list of target expressions including conjunctions such as “が (but).” When a  $S_1$  chunk containing an adversative conjunction that aligns with the Premise of  $S_0$  or the  $S_0$ 's Premise depends on  $S_1$  chunk containing an adversative conjunction, we set each feature set in a chunk before an adversative conjunction and after an adversative conjunction to semantic templates.

## 5.6 VI. Semantic Template Application

We apply semantic features extracted in Step IV to semantic templates. If  $S_1$  matches multiple semantic templates with an adversative conjunction from Step V, we combine the semantic templates. We get a relation for a sentence pair in this step.

## 5.7 Example of Semantic Features Extraction

Feature extraction is illustrated in greater detail in the examples  $S_0$  which is the query and  $S_1$  in Table 1. First, we identify words represented *IF-Constraint* is “ONLY-IF”: “時 (when)” is in  $S_1$  and the conditional chunk has a word “だけ (only).” Next, we evaluate each the type of Premise of each chunk to determine if it is a premise or an additional condition. The subject word “Xylitol” aligns between  $S_0$  and  $S_1$ , and the conditional chunk's sibling in dependency tree of  $S_1$  is a chunk which has the subject. And the conditional chunk has a child which is not aligned any chunk in  $S_0$ , it is “100%の (100%).” And the conditional chunk has no negations. So, *Premise* is set to “P+A.” Finally, we check if the consequences to the conditions are aligned to the verbs and nouns indicating consequences in  $S_0$ : “prevent” and “is effective” are aligned, the modality and polarity of the Consequence are identical, these depended on by the condition, and the Consequence has no expressions which limited range or degree. *Consequence* is set to “C.” We set the semantic template features and get a result which the sentences relation is EXPLICIT CONFINEMENT. Ideally patterns for setting semantic features for semantic templates should be learned automatically, but this remains an area of future work. Nonetheless, our current experiment gives a good measure of the effectiveness of semantic templates in recognizing CONFINEMENT relations.

## 6 Evaluation

In Section 4, we verified that the semantic templates defined in Section 3.2 can successfully classify semantic relations as CONFINEMENT given the correct feature values. In this Section, we present the results of an experiment in a more realistic setting by using semantic templates together with the features automatically extracted as described with our proposed system in Section 5 to determine whether or not a sentence pair has a CONFINEMENT relation.

### 6.1 Setting up Evaluation

While more research on recognizing ENTAILMENT or CONTRADICTION between sentence pairs is necessary, it is important to recognize new relations that cannot be analysed in existing frameworks in order to provide Internet users with the information they need. Thus, we assume that unrelated sentence pairs will be discarded before classification, in this experiment we focus only on the recognition of CONFINEMENT relations. So our goal in this experiment is to classify between CONFINEMENT and NOT CONFINEMENT. We will evaluate determining whether CONFINEMENT sentence pairs are Explicit or Implicit in future. In our experiment, we used a gold data for structural alignment to evaluate semantic feature extraction.



Table 3: Results of recognizing confinement relations with our proposal system

	Recall	Precision	F-Score
proposed system	0.65(129/200)	0.57(129/225)	0.61
baseline system	0.96(192/200)	0.34(192/562)	0.50

Table 4: Instances of incorrect classification

		$S_0$	$S_1$
False Negative	A	イソフラボンで健康を回復できる。 A person can regain their health with isoflavon.	イソフラボンの健康への効果に期待しすぎでの過剰摂取は禁物です。 Excess intake of isoflavon to boost its health effects is prohibited.
	B	キシリトールは虫歯予防に効果がある。 Xylitol has effects on preventing tooth decay.	歯を磨く・規則正しい食生活を送る等がきちんと行われている上でキシリトールを用いることが虫歯予防に効果的となるのです。 The use of xylitol is effective at preventing tooth decay when done while eating properly and brushing one's teeth regularly.
False Positive	C	キシリトールは虫歯を予防することができる。 Xylitol can prevent tooth decay.	キシリトールを口にしていれば、虫歯を予防できると考えるのは大きな間違いです。 It is a big mistake to think that one can prevent tooth decay if they put Xylitol in their mouth.
	D	ステロイドで病気は改善できる。 Steroids can cure illnesses.	アトピー性皮膚炎は、ステロイドの使用を止めれば完治する。 Atrophic dermatitis will heal completely if steroid use is stopped.
	E	ステロイドは副作用が懸念される。 Side effects are a worry for steroids.	ステロイドの副作用は、どのくらいの量でどのくらいの期間使い続けられれば現れるかは人それぞれです。 The amount of steroids or period of time that causes side effects differs from person to person.

## 6.2 Baseline System

We developed a baseline system that does not use our manually-compiled lexico-syntactic patterns in order to act as a point of comparison for the proposed system in evaluating their contribution to CONFINEMENT recognition.

The baseline system consists of performing all of the steps from of our proposed system that do not rely on manually compiled lexico-syntactic patterns. Step relying on these resources are marked with a  $\star$  in Section 5 and are skipped in the baseline. Essentially, we conduct Steps I, II, and III, the parts of Step IV that can be done without manually-compiled patterns, and, finally, Step VI.

In Step IV, we determine if there are any limitations on the Consequence in the Consequence Feature subset, but we do not judge whether the Consequence is ENTAILMENT or CONTRADICTION in the baseline system.

## 6.3 Result and Error Analysis

The results are given in Table 3. We find that our system has much higher precision than the baseline, improving by over 20%. In our system, the list of semantic patterns is effective at recognizing CONFINEMENT. On the other hand recall has gone down compared to the baseline. The baseline judged that almost sentences are CONFINEMENT, so the list of semantic patterns employed in our rule-based system is useful at eliminating false positives. Table 4 shows some instances of incorrect classification. Each instance is a pair ( $S_0$ ,  $S_1$ ).

Example A- $S_1$  means “Excess intake of isoflavon can not boost one’s health” and “excess intake” is an additional condition for A- $S_1$ . In this case “excess” is a lexical specifier of the specific condition and is indicated by the particle “は”. The particle “は (topic marker)” is not currently used as a feature in the semantic templates since it is very noisy, so this instance can not be detected. We need to expand our method of acquiring semantic patterns to better handle such cases.

The additional condition phrase in Example B- $S_1$  modifies “The use of Xylitol” instead of “is effective at preventing tooth decay”, preventing us from properly recognizing the limiting condition in this case. We need to conduct deeper scopal analysis to determine when the modifier of an embedded chunk should be considered as an additional condition.

Example C- $S_1$  is an instance where the system fails to recognize that “put in their mouth” is an expression meaning “use” since our lists of lexical words for features did not have it. We should increase our ability to recognize synonyms of “to use” by automatically mining data for paraphrases or approaching it as a machine learning task in order to handle examples like C- $S_1$ . On the other hands “if steroid use is stopped” in example D- $S_1$  is the premise which should indicate an IF condition and Negation exists, however we can not recognize it correctly since the phrase lacks negation. We will make a list of words and phrases that are antonyms of “use” in order to recognize such instances.

The condition in example E- $S_1$  is about how side-effects appear, and not a condition for the other sentence example E- $S_0$ . This instance requires detailed semantic analysis and cannot be solved with alignment-based approaches. It represents a very difficult class of problems.

## 7 Conclusion

On the Web, much of the information and opinions we encounter indicates the conditions or limitations under which a statement is true. This information is important to Internet users who are interested in determining the validity of a query of interest, but such information cannot be represented under the prevalent RTE framework containing only ENTAILMENT and CONTRADICTION.

In this paper, we provided a logical definition of the CONFINEMENT relation and showed how it could be used to represent important information that is omitted under an RTE framework. We also proposed a set of semantic templates that use set of features extracted from sentences pairs to recognize CONFINEMENT relations between two sentences. Preliminary investigations showed that given correct feature input, semantic templates could effectively recognize CONFINEMENT relations.

In addition, we presented empirical evaluation of the effectiveness of semantic templates and automatically-extracted features at recognizing CONFINEMENT between user queries and Web text pairs, and conducted error analysis of the results. Currently, our system does not deal with unknown instances well since it extracts features for semantic template using manually constructed lexical patterns. In future work, we will learn features for the semantic templates directly from data to better handle unknown instances.

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